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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PRELIMINARY AMENDMENT

Atty. Docket No. UBAT1440

OFFIGHWALLY FILED

Applicant
Stephen F. Smith, et al.

**Application Number** 

09/653,788

Date Filed **September 1, 2000** 

For

Digital-Data Receiver Synchronization Method and

Apparatus

Group Art Unit **2631** 

5601

Examiner **Unknown** 

RECEIVED

Confirmation Number:

AUG 2 1 2002

Technology Center 2600

Asst. Commissioner of Patents Washington, D.C. 20231

Dear Sir:

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on August 2, 2002.

Janice Pampell

Please amend the above-identified application under 37 CFR 1.121 as follows:

Marked up versions showing changes relative to the previous versions of the following changes are included in attached Appendix I.

## **IN THE SPECIFICATION**

Please amend the specification as follows:

On page 1, replace the second heading and first paragraph with the following:

## STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER FEDERALLY-SPONSORED RESEARCH OR DEVELOPMENT

This invention was made with United States Government support under prime contract No. DE-AC05-00OR22725 to UT-Battelle, L.L.C. awarded by the Department of Energy. The Government has certain rights in this invention.

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